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PTO/SB/31 (02/01)

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<b>NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES</b>		Docket Number B208 1010.1	
7A02	In re Application of McCABE, et al.		RECEIVED CENTRAL FAX CENTER APR 23 2004
	Application Number 09/559,320	Filed 4/27/2000	
	For DERIVATIVE SECURITIES TRADING PRODUCT UTILIZING SUBSETS OF INDICES OR PORTFOLIOS		
	Group Art Unit 3624	Examiner Daniel S. Felton	

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b))

\$ 330.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half and the resulting fee is:

\$

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 09-0528. I have enclosed a duplicate copy of this sheet.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

I am the

☐ applicant /inventor

☐ assignee of record of the entire interest.

See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98)

☐ attorney or agent of record.

☒ attorney or agent acting under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). 39,893

*Nanda K. Alapati*  
Signature

Nanda K. Alapati  
Typed or printed name

April 23, 2004  
Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

#### CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being sent to the central facsimile number, 703-872-9306, for patent application related correspondence on April 23, 2004.

1 Pages

*Nanda K. Alapati*  
Nanda K. Alapati

WASHINGTON 113568V1